IAP7 Rec'd PCT/PTO 04 AUG 2006' (Rev. 07-2005)

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 04-997-US

U.S. APPLICATION NO. (If known, see-27 CFR 1.5)

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US2005/003766 04 February 2005 (04.02.05) 05 February 2004 (05.02.04) TITLE OF INVENTION IMMUNOSTIMULATORY COMPOSITIONS AND USES THEREOF APPLICANT(S) FOR DO/EO/US Laura L. EGGINK; Valerie JACOBS; J. Kenneth HOOBER Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 3). M A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau), have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. \boxtimes have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)) 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern documents) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. 🔲 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. 🛛 A preliminary amendment. 14. 🛛 An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. 🛛 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. 🛛 A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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AP20 Rec'd PCT/PTO 0.4 AUG 2006-1390 (Rev. 07-2005)

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| U.S. APPLICA | O / | 588 | 5 2 7 CFR 1.5 | PCT/US2005/00376 | | ATION NO. | ATTORNEY'S DO 04-997-US | OCKET NUMBER | | |
|---|---------|------------------------------|---------------|----------------------|--------|-------------|----------------------------|--------------|--|--|
| 20. Other items or information: Statement under WIPO Standard ST.25 and 37 C.F.R. 1.821-1.825 Copy of the International Search Report and Written Opinion of the ISA/EP Return Receipt Postcard | | | | | | | | | | |
| The follo | wing fe | es have be | en submitted | | | | CALCULATIONS | PTO USE ONLY | | |
| 21. A Basic national fee (37 CFR 1.492(a)) | | | | | | | \$ 300.00 | | | |
| 22. 🛛 Exam | ination | fee (37 CFI | | | | | | | | |
| If the written opin by IPEA/I All other situation | US indi | pared by IS cates all cla | \$ 200.00 | | | | | | | |
| 23. Search fee (37 CFR 1.492(b)) If the written opinion prepared by ISA/Us or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) | | | | | | | \$ 400.00 | | | |
| | TOTA | AL OF 21, 2 | 22 and 23 = | | | | \$ 900.00 | | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) 37 CFR 1.492(j)) The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | | |
| Total Sheets | Extr | a Sheets | | p to a whole number) | | | | | | |
| -100 = | | /50 = | | | x \$25 | 0 | \$ | | | |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). | | | | | | | \$ | | | |
| CLAIMS | | NUME | BER FILED | NUMBER EXTRA | | RATE | \$ | | | |
| Total claims | ; ; | 37 | -20 = | 17 | x | \$50 | \$ 850.00 | | | |
| Independent cla | aims (| 6 | -3 = | 3 | X | \$200 | \$ 600.00 |) | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 | | | | | | | \$ | | | |
| | | | | TOTAL OF ABO | VE CAL | CULATIONS = | \$ 2350.00 | | | |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. | | | | | | / 2. | 1175.00 | | | |
| SUBTOTAL = | | | | | | \$ 1175.00 | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | | | \$ | | | | |
| TOTAL NATIONAL FEE = | | | | | | \$ | 1175.00 | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | | \$ | | | | |
| TOTAL FEES ENCLOSED = | | | | | | -\$ | 1175.00 | | | |
| | | | | | | | Amount to be refunded: | \$ | | |
| | | | | | | | Amount to be charged: | \$ | | |
| | | | | | | | | | | |

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| a. 🛛 | A check in the amount of \$ $1.175.00$ to cover the above fees is enclosed. | ec'd PCT/PTO 04 AUG 2006 | | | | | | | |
|--|---|---|--|--|--|--|--|--|--|
| b. 🔲 | Please charge my Deposit Account No in the amount of \$ A duplicate copy of this sheet is enclosed. | to cover the above fees. | | | | | | | |
| c. 🛚 | The Commissioner is hereby authorized to charge any additional fees Account No. <u>13-2490</u> . A duplicate copy of this sheet is enclosed. | which may be required, or credit any overpayment to Deposit | | | | | | | |
| d. 🗆 | Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | | | |
| Mark L MCDO 300 Sc | LL CORRESPONDENCE TO: CHAEL NNELL BOEHNEN HULBERT & BERGHOFF LLP buth Wacker Drive, Suite 3100 to, IL 60606 | Mark L. Chael NAME 44,601 REGISTRATION NUMBER | | | | | | | |
| | 312 913 0001 12 913 0002 | August 4, 2006 DATE | | | | | | | |
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10/588627 AP20 Rec'd PCT/PTO 04 AUG 2006

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) (Case No. 04-997-US)

| In the Application o |) | |
|--|--|--|
| | Laura L. EGGINK et al.) | |
| U.S Application No. International Appln | TBA) lo.: PCT/US2005/003766) | |
| International Filing (| ate: 04 February 2005) | |
| | unostimulatory Compositions) Uses Thereof) | |

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Mail Stop PCT Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Date of Deposit: August 4, 2006 "Express Mail" Mailing Label Number: EV839414932US

Attached Paper or Fee:

- 1) Transmittal to the DO/EO/US (3 sheets)
- 2) Statement under WIPO Standard ST.25 and 37 CFR 1.821-1.825
- 3) Application Data Sheet (3 sheets)
- 4) Copy of the International Search Report and Written Opinion of the ISA/EP (17 sheets)
- 5) IDS Form PTO/SB/08 (1 sheet) and copies of 3 cited references
- 6) Preliminary Amendment (8 sheets)
- 7) Second copy of the published International Application
- 8) Computer-readable form of the Sequence Listing (1 diskette)
- 9) Filing Fee Check
- 10) Return Receipt Postcard

I hereby certify that I directed that the attached paper or fee be deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 C.F.R. 1.10 on the date indicated above and be addressed to Mail Stop PCT, Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450.

Mark L. Chael, Reg. No. 44,601